

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

10/715,934  
Serial No.: ~~10/937,433~~  
Applicants: Yunjun Li et al.  
Filing Date: 9/09/04  
Group: 1714  
Atty. Docket No.: 12179-P126US



Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<u>IL</u> AAA	6,436,221	8/20/02	Chang et al.	156	247	
<u>IL</u> ABA	6,057,637	5/02/00	Zettl et al.	313	310	
<u>IL</u> ACA	6,616,495	9/09/03	Tsuboi	445	24	
<u>IL</u> ADA	6,441,550	8/27/02	Patterson et al.	313	495	
<u>IL</u> AEA	6,239,547	5/29/01	Uemura et al.	313	495	
<u>IL</u> AFA	6,705,910	3/16/04	Sheu et al.	445	24	
<u>IL</u> AGA	2002/0104603	8/08/02	Chang et al.	156	89.12	
___ AHA						
___ AIA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
<u>IL</u> AJA	EP 0989579	3/29/00	Europe			X
___ AKA						
___ ALA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial

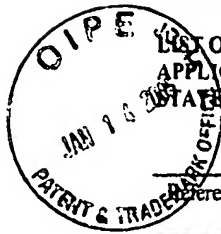
\_\_\_ AMA  
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\_\_\_ ASA  
\_\_\_ ATA

Examiner: Tha

Date Considered: 7/16/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Austin\_1270801\1  
12179-P126C1 1/13/2005



# OFFICE OF PATENT AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Reference Designation

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<input checked="" type="checkbox"/> AAA	5,548,185	8/20/96	Kumar et al.	313	495	
<input checked="" type="checkbox"/> ABA	6,436,221	8/20/02	Chang et al.	156	247	
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<input type="checkbox"/> AFA						
<input type="checkbox"/> AGA						
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<input type="checkbox"/> AIA						

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
<input type="checkbox"/> AJA						
<input type="checkbox"/> AKA						
<input type="checkbox"/> ALA						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

- ☒ AMA *Handbook of Chemistry and Physics*, 59<sup>th</sup> Edition, 1978-1979, pp. 81-82.
- ☐ ANA M.F. Islam, "High Weight Fraction Surfactant Solubilization of Single-Wall Carbon Nanotubes in Water," *Nano Letters*, 2003, Vol. 3, No. 2, pp. 269-273.
- ☐ AOA "The Silver/Silver Chloride Reference Electrode," available via the Internet at [http://www.tannerm.com/ag\\_ref.htm](http://www.tannerm.com/ag_ref.htm), 1998, Tanner McCarron, pp. 1-8.
- ☐ APA Won Seok Kim et al., "Secondary Electron Emission from Magnesium Oxide on Multiwalled Carbon Nanotubes," *Applied Physics Letters*, Vol. 81, No. 6, August 5, 2002, pp. 1098-1100.
- ☐ AQA J.N. Heo et al., "Effect of MgO Film Thickness on Secondary Electron Emission from MgO-coated Carbon Nanotubes," *Physica B*, 323 (2002), pp. 174-176.
- ☐ ARA Young-Woo Son et al., "Electron Structure and the Field Emission Mechanism of MgO-coated Carbon Nanotubes," *New Journal of Physics*, 5 (2003), pp. 152.1-152.9.
- ☒ ASA SeGi Yu et al., "Field Emission Energy Distribution of MgO-coated MWCNTs," *Physica B*, 323 (2002), pp. 177-179.
- ☐ ATA

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